

MATHEMATICAL MORPHOLOGY BASED CHARACTERIZATION OF BINARY IMAGE

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ABSTRACT

This paper reports the results of a theoretical study on morphological characterization of foreground (X) and background (X^c) of a discrete binary image. Erosion asymmetry and dilation asymmetry, defined to elaborate smoothing of an image respectively by contraction and expansion, are generalized for multiscale smoothing, and their relationships with morphological skeleton and ridge (background skeleton) transformations are discussed. Then we develop algorithms identifying image topology in terms of critical scales corresponding to close-hulls and open-skulls, along with a few other salient characteristics, as respective smoothing by expansion and contraction proceeds. For empirical demonstration of these algorithms, essentially to unravel the hidden characteristics of topological and geometrical relevance, we considered deterministic and random binary Koch quadric fractals. A shape-size based zonal quantization technique for image and its background is introduced as analytical outcome of these algorithms. The ideas presented and demonstrated on binary fractals could be easily extended to the grayscale images and fractals.

Keywords: dilation asymmetry, erosion asymmetry, close-hull, open-skull, degree of stability, hull fragments, skull fragments.

INTRODUCTION

The two fields mathematical morphology (Matheron, 1975; Serra, 1982) and fractal geometry (Mandelbrot, 1982) evolved independently but almost in same era near 1960s. Fractal geometry offers computation of fractal dimension, which is one of the measures to quantify the degree of roughness, and has been widely employed within the context of image processing, in texture-based image classification, image compressions, and many (Kaplan, 1999; Tolle et al., 2003; Xia et al., 2006; Ji et al., 2013). However, the measures that quantify the shape-size content in varied types of images such as binary, grayscale, color and hyperspectral images; are from the field of mathematical morphology. Interestingly, various mathematical morphological transformations are rightly appropriate to characterize fractal objects, fractal functions, fractal surfaces etc. This paper emphasizes on characterization of spatial binary objects (e.g. fractals) not by fractal dimensions but by morphological analysis.

Mathematical Morphology evolved as set-theory based image analysis approach. The central idea of mathematical morphology is to examine geometrical structure of an image object with reference to an

object of simple shape and size, termed as structuring element. The interactions of image object with structuring elements result in nonlinear smoothing filters, also known as morphologic transforms (Serra, 1982; Maragos and Schafer, 1987a,b). Dilation and erosion are basic and mutually dual mathematical morphologic transforms. Dilation of an image followed by erosion is closing transform, and dual of this, i.e., erosion of an image followed by dilation is opening transform. Skeleton and ridge (henceforth referred to denote background skeleton) transforms, which are dual to each other, are geometrical representations summarizing overall shape, abstract structure, orientation of foreground and background of an object respectively. Skeleton of a continuous binary image was first introduced by Blum (1967), as medial axis. Skeletonization is based upon a systematic use of multiscale erosions and openings with certain logical operations (Lantuejoul, 1980), while the ridge follows multiscale dilations and closings of an object.

Shape-size analysis plays prime role in object recognition, and is an area of utmost importance in digital image processing and computer vision. Shape-size analysis of image by multiscale smoothing has been used in mathematical morphology since its inception.

Granulometry and antigranulometry (Matheron, 1975; Serra, 1982; Dougherty et al., 1989; Heijmans, 1994; Soille, 2003), primary tools of morphology, systematically apply morphological transformations and their cascade-operations for multiscale shape representation, and define shape-size content based quantitative indexes to compute spatial complexity of foreground and background regions of a set (*e.g.* binary fractal) or a function (*e.g.* grayscale image). Maragos (1989) developed shape-size descriptor called pattern spectrum to detect critical scales in an image object and to quantify various aspect of shape-size content of the image. Several researchers employed binary morphological transformations to decompose spatial objects (*e.g.* binary images) into non-overlapping disks (convex polygons) of various sizes, and also into skeletons (Maragos, 1989; Pitas and Venetsanopoulos, 1990, 1992; Goutsias and Schonfeld, 1991; Reinhardt and Higgins, 1996a,b; Xu, 1996, 2001a,b,c, 2003a,b). These studies were carried out essentially for representation, and recognition of binary patterns. The main focus of the aforementioned papers was to decompose an image object based upon certain criterion *e.g.* homothetics of used structuring element or collection of convex polygonal etc. The current work is motivated by this seed idea of decomposition of image, but adapts an altogether different approach driven by internal image characteristics to achieve the same. This very characteristic primarily is motivated by the work of Beucher (2005) on morphological residues, and could be identified by critical scales obtained from unique topological networks of image, namely skeleton and ridge, during multiscale analysis. The focus of this paper is not only limited to shape-size based systematic zonal quantization of image and its background, but it also provides spatial modeling of image in terms of shape-size topology, texture analysis and pattern classification.

In this paper, we demonstrate few aspects related to multiscale smoothing based shape-size complexity of image with primary focus only on finite discrete binary images and finite discrete binary structuring elements containing origin. Multiscale opening and closing are employed respectively for the analyses of foreground and background of a spatial object (*e.g.* binary fractal). It is known that the multiscale openings are scaled up to ultimate erosion of the image (Beucher, 2005, 1994), while the multiscale closings typically are scaled such that any further closing by a bigger size structuring element yields no expansion in closed version (assuming finite image and enough big background). In our experimentation during multiscale

analysis of discrete image objects, we have observed that image (and its background) may have no roughness with reference to a specific discrete size. This ‘no roughness’ means a minimum (*i.e.*, zero) value of corresponding quantitative indexes or pattern spectrum as referred above. In some cases, this ‘no roughness’ pattern stabilizes for a few successive multiscale iterations, which could be referred as stabilization of residues (Beucher, 2005). The overall analysis and results shown provide few insights on image topology that were not reported elsewhere to best of our knowledge. This topographic characteristic provides a base for shape-size based quantification of foreground and background of image.

This paper is organized as follows: We define ‘dilation asymmetry’ and ‘erosion asymmetry’ in the next section. These terms are not mentioned in classical mathematical morphology and are defined in this paper to elaborate smoothing of an image by expansion and contraction respectively. After this, we generalize these terms for multiscale smoothing and show their oneness respectively with ridge and skeleton. This is followed by a discussion on shape-size complexities of image background and foreground along with their ‘degree of stability’, respectively via multiple close-hulls and open-skulls, under the influence of increasing cycles of morphological closing and opening. We also propose algorithms to obtain critical scales corresponding to multiple close-hulls and open-skulls of an image along with their degree of stability. Afterwards, we demonstrate experimental results of these algorithms on deterministic and random binary Koch quadric fractals. As an outcome of analysis of these algorithms, we define systematic quantization techniques to quantify image and its background into zonal fragments with open-skulls and close-hulls respectively being the quantifiers. There exist various techniques to estimate morphology based fractal dimension (*e.g.* Maragos and Sun, 1993; Radhakrishnan et al., 2004). We compute a scale invariant but shape-dependent morphological quantitative index and correlate this with analytical fractal dimension of binary fractal. The paper ends with conclusion of the results of our study.

Notation: Z = set of all integers; Z^2 = two dimensional grid of discrete points; X, A, B, C = subsets of Z^2 ; $A \subseteq C$ = set A is subset of set C ; $A - C$ = set difference between A and C ; \cup = set union; $X \oplus B$ = dilation of X by B ; $X \ominus B$ = erosion of X by B ; $X \bullet B$ = closing of X by B ; $X \circ B$ = opening of X by B ; \emptyset = empty set.

MORPHOLOGICAL SMOOTHING - ASYMMETRY AND SYMMETRY OF IMAGE

Morphological dilation and erosion transforms of an image X are actually defined against symmetric set of structuring element B with respect to origin (Matheron, 1975; Serra, 1982; Maragos and Schafer, 1986). For the ease of notation, but with the same spirit of definitions, we refer simply $X \oplus B$ and $X \ominus B$ respectively as morphological dilation and erosion of X by B . The cascade of erosion-dilation (resp. the cascade of dilation-erosion), in other words $X \circ B$ (resp. $X \bullet B$), implemented by an arbitrary size of B , provide morphological opening and closing. Closing is an extensive transform while opening is an antiextensive transform (Serra, 1982), *i.e.*, for finite discrete binary image X and for finite discrete binary structuring element B , $X \circ B \subseteq X \subseteq X \bullet B$. An image smoothes in closing transform such that the entire asymmetry of image with reference to structuring element is removed at cost of its expansion. Similarly, image smoothes in opening and entire asymmetry of image with reference to structuring element is removed at cost of its contraction. The terms ‘smoothing by expansion’ and ‘smoothing by contraction’ are used later in the document with this essence. Further in this context, we define dilation and erosion asymmetry in coming sub-sections.

DILATION ASYMMETRY AND SYMMETRY

We define dilation asymmetry $D^{asym}(X)$, (or simply D^{asym} as we refer it further) of X with reference to B as:

$$D^{asym}(X) = (X \bullet B) - X. \quad (1a)$$

Dilation asymmetry is a measure of asymmetry or distortion (by expansion) of X by B due to closing. D^{asym} equals to \emptyset in Eq. 1a imply $X \bullet B = X$, which means X has no dilation asymmetry, or is perfectly dilation symmetric to B and cannot be expanded by B in closing cycle(s). We define dilation symmetry $D^{sym}(X)$, (or simply D^{sym} as referred further) of X with reference to B as:

$$D^{sym}(X) = (X \oplus B) - (X \bullet B). \quad (1b)$$

Dilation symmetry is a measure of symmetry of X with reference to B during the closing transform. For case of perfect dilation symmetry, *i.e.*, $X \bullet B = X$, $D^{sym} = (X \oplus B) - X$, which implies that the entire incremented part of X due to dilation is symmetric

with reference to B and hence, completely shrinks back during erosion in closing cycle. Interestingly, Eqs. 1a,b jointly illustrate that D^{asym} is incremented part of X due to dilation, which does not shrink back after erosion in closing cycle; while D^{sym} is incremented part of X due to dilation, which shrinks back after erosion as closing cycle completes. This understanding elaborates the obvious observation from Eqs. 1a,b that D^{asym} and D^{sym} are mutually disjoint, and:

$$D^{asym}(X) \cup D^{sym}(X) = (X \oplus B) - X. \quad (1c)$$

Eq. 1c indicates that set union of D^{asym} and D^{sym} is a zonal ring between $X \oplus B$ and X .

We stated earlier that entire dilation asymmetry of X with reference to B is removed at cost of its expansion in closing, which means that closed version $X \bullet B$ is perfectly dilation symmetric to B , *i.e.*, $D^{asym}(X \bullet B) = \emptyset$. This, with replacement of X by $X \bullet B$ in (1a) yields $(X \bullet B) \bullet B = X \bullet B$; concluding that closing of an image is an idempotent transformation. This also infers that dilation of $X \bullet B$ by B results in $X \oplus B$, *i.e.*, $(X \bullet B) \oplus B = X \oplus B$, or $(X \oplus B) \circ B = X \oplus B$; which indicates that dilated version of an image is super stable with respect to openings. These properties were classically established by Serra (1982), and our purpose to refer them here is to establish a consistency of definitions in Eqs. 1a,b with them. Fig. 1 illustrates smoothing of image in closing transform and also summarizes the above mentioned properties of dilation asymmetry and dilation symmetry.

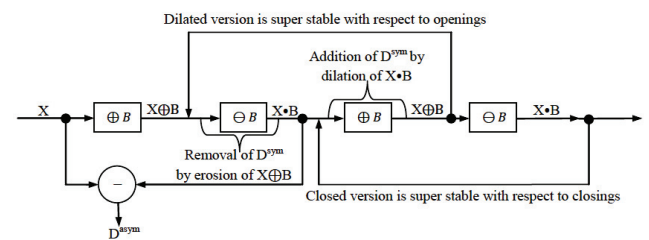


Fig. 1. Image smoothing in closing transform along with properties of dilation asymmetry and dilation symmetry.

EROSION ASYMMETRY AND SYMMETRY

We define erosion asymmetry $E^{asym}(X)$, (or simply E^{asym} as referred further) of X with reference to B as:

$$E^{asym}(X) = X - (X \circ B). \quad (2a)$$

Erosion asymmetry is a measure of asymmetry or distortion (by contraction) of X by B due to opening.

E^{asym} equals to \emptyset in Eq. 2a imply $X = X \circ B$, which means X has no erosion asymmetry, or is perfectly erosion symmetric to B and cannot be contracted by B in opening cycle(s). We define erosion symmetry $E^{\text{sym}}(X)$, (or simply E^{sym} as referred further) of X with reference to B as:

$$E^{\text{sym}}(X) = (X \circ B) - (X \ominus B). \quad (2b)$$

Erosion symmetry is a measure of symmetry of X with reference to B during the opening transform. For case of perfect erosion symmetry, *i.e.*, $X = X \circ B$, $E^{\text{sym}} = X - (X \ominus B)$, which implies that the entire reduced part of X due to erosion is symmetric with reference to B and hence, completely expands back during dilation in opening cycle. Eqs. 2a,b illustrate that E^{asym} is reduced part of X due to erosion, which does not expand back after dilation in opening cycle; while E^{sym} is reduced part of X due to erosion, which expands back after dilation as opening cycle completes. This elaborates the otherwise obvious conclusion from Eqs. 2a,b that E^{asym} and E^{sym} are mutually disjoint, and:

$$E^{\text{asym}}(X) \cup E^{\text{sym}}(X) = X - (X \ominus B). \quad (2c)$$

Set union of E^{asym} and E^{sym} is a zonal ring between X and $X \ominus B$, as is clear from Eq. 2c.

Dilation and erosion (as well closing and opening) are mutually dual transforms. The notion of previous sub-section could easily be correlated to procure $E^{\text{asym}}(X \circ B) = \emptyset$, followed by $(X \circ B) \circ B = X \circ B$; deducing that opening of an image is an idempotent transform. Similarly, we procure $(X \circ B) \ominus B = X \ominus B$, or $(X \ominus B) \bullet B = X \ominus B$; which points that eroded version of an image is super stable with respect to closings. The latter procurement, and replacement of X by $X \ominus B$ in Eq. 1a results in $D^{\text{asym}}(X \ominus B) = \emptyset$. Similarly, as we know that $(X \oplus B) \circ B = X \oplus B$, replacing X by $X \oplus B$ in Eq. 2a results in $E^{\text{asym}}(X \oplus B) = \emptyset$. In summary, eroded version of an image has no dilation asymmetry, and dilated version of an image has no erosion asymmetry. These properties were originally established by Serra (1982), and our purpose to refer them here is to establish a consistency of definitions in Eqs. 2a,b with them. Fig. 2 illustrates smoothing of image in opening transform and also summarizes the above mentioned properties of erosion asymmetry and symmetry.

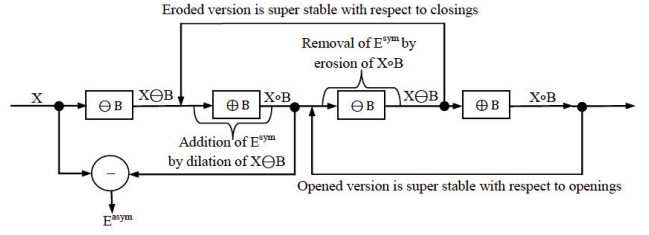


Fig. 2. *Image smoothing in opening transform along with properties of erosion asymmetry and erosion symmetry.*

MULTISCALE MORPHOLOGICAL SMOOTHING

DILATION ASYMMETRY AND SYMMETRY – GENERIC FRAMEWORK

In previous section, we discussed that X completely smoothes in closing transform, by removal of its total asymmetry with reference to B at cost of its expansion. The scope of this paper is discrete binary space, and within this scope, further smoothing of X by expansion is feasible via its closing by bigger discrete size structuring elements belonging to family of B . By convention, base structuring element B is a discrete binary prototype pattern of unit (*i.e.*, one) size, and a finite pattern nB , given by Eq. 3, defines a family of binary patterns belonging to B and specified by discrete size n .

$$nB = \underbrace{B \oplus B \oplus \dots \oplus B}_{n\text{-times}}, \quad (3)$$

where n is non negative integer. By convention, $nB = \{(0,0)\}$ for $n = 0$. The cascade of erosion-dilation, and the cascade of dilation-erosion, implemented by nB for successive values of n , provides multiscale morphological opening and closing respectively. These multiscale openings and closings are respectively denoted by $X \circ nB$ and $X \bullet nB$.

A closing by nB removes entire asymmetry of and up to size nB out of X , *i.e.*, $(X \bullet mB) \subseteq (X \bullet nB)$ for any non-negative integers m, n such that $n \geq m$. Now as we perform multiscale smoothing of X by expansion, we are interested in a generalized version of Eq. 1a, *i.e.*, dilation asymmetry $D_n^{\text{asym}}(X)$ for n^{th} iteration of multiscale smoothing by expansion. The most obvious generalized version seems to be $D_n^{\text{asym}}(X) = X \bullet nB - X$, where n is a positive integer.

But with this pattern of generalization, we land up in a situation where $D_p^{\text{asym}}(X) \subseteq D_q^{\text{asym}}(X) \forall q \geq p$ where p, q are positive integers. Rather than this approach of generalization, a much preferable idea to analyze the smoothing pattern of image will be to have smaller disjoint dilation asymmetry sets as iterations of multiscale smoothing proceed. To visualize disjoint dilation asymmetry sets, we consider topology of zonal ring between X and $X \oplus B$, given by Eq. 1c, as elementary theme. Also, Fig. 1 depicts flip and flop of component D^{sym} within this zonal ring, by dilation of $X \bullet B$ and erosion of $X \oplus B$ respectively. So, if we realize multiscale smoothing of X by expansion in terms of zonal rings between $X \oplus nB$ and $X \oplus (n+1)B$ where n is a non negative integer, we shall obtain disjoint patterns of dilation asymmetry and dilation symmetry as smoothing proceeds, because a pair of unique dilation asymmetry and symmetry set shall exist within the region of each (mutually disjoint) zonal ring. Here we assume empty sets of dilation asymmetry as disjoint sets, if so happens for few iterations of multiscale smoothing. To generalize Eqs. 1a,b with this approach of zonal rings, we need to consider dilated image of current iteration of multiscale smoothing as input image for next iteration. We denote iteration count by non-negative integer n and input image for n^{th} iteration of smoothing by X_n . For $n = 0$, which is starting iteration, the input image X_0 is X itself. As this approach considers dilated version of current iteration being input for next iteration, $X_n = X \oplus nB$. With this approach of zonal rings, the generalized versions $D_n^{\text{asym}}(X)$ and $D_n^{\text{sym}}(X)$ of dilation asymmetry and symmetry respectively for n^{th} iteration of multiscale smoothing by expansion are:

$$D_n^{\text{asym}}(X) = (X \oplus nB) \bullet B - (X \oplus nB). \quad (4a)$$

$$D_n^{\text{sym}}(X) = (X \oplus (n+1)B) - (X \oplus nB) \bullet B. \quad (4b)$$

Note that Eqs. 4a,b are trivial to achieve with replacement of X by X_n in Eqs. 1a,b. Assuming finite image and enough big background, the upper threshold of n , denoted by K , is maximum (or last) iteration in which smoothing of X by expansion occurs; indicating that D_n^{asym} is an empty set for each $n > K$. If so, using (4a) we obtain $X \bullet (n+1)B = X \bullet nB \forall n > K$. In other words, $X \bullet (K+1)B$ is maximum possible closed version of X obtained by multiscale smoothing. We will shortly discuss a theoretical limit on maximum iteration of multiscale smoothing.

Analogous to previous section, $D_n^{\text{asym}}(X)$ (or simply D_n^{asym} as we refer it further) is measure of asymmetry or distortion (by expansion) of X_n by B

due to closing. Similarly, $D_n^{\text{sym}}(X)$ (or simply D_n^{sym} as referred further) is measure of symmetry of X_n with reference to B during the closing transform. D_n^{asym} and D_n^{sym} are mutually disjoint as is obvious from Eqs. 4a,b; and set union of D_n^{asym} and D_n^{sym} is a zonal ring between $X_n \oplus B$ and X_n as indicated by Eq. 4c.

$$D_n^{\text{asym}}(X) \cup D_n^{\text{sym}}(X) = (X \oplus (n+1)B) - (X \oplus nB). \quad (4c)$$

Eq. 4a in fact replicates the morphological ridge transform of discrete binary image X , which is realized in this sub-section as a asymmetry of topological zonal rings expanding out of X . Henceforth, the usage of term dilation asymmetry in essence could be pondered as morphological ridge (background skeleton). Maragos (1989) proposed extended reduced skeleton transform (ERST) which may eliminate some ridge redundancy in few scenarios and is compatible with pattern spectrum. The upper threshold value K obtained from ERST based model may slightly differ in some scenarios (*e.g.* a reduction of one iteration) than the one obtained from ridge based model (Eq. 4a) as described above. As our focus in this paper is more towards classifying overall shape-size topology of image, we embrace with ridge based model with awareness of this slight effect. However, we will point out this possible minor impact, wherever applicable.

The generalized version of results summarized in Fig. 1 of previous section could be trivially obtained for n^{th} zonal ring with replacement of X by X_n , *i.e.*, $(X_n \bullet B) \bullet B = X_n \bullet B$, and $(X_n \oplus B) \circ B = X_n \oplus B$. Replacing X_n by $X \oplus nB$, the generalized results are $((X \oplus nB) \bullet B) \bullet B = (X \oplus nB) \bullet B$, and $(X \oplus (n+1)B) \circ B = X \oplus (n+1)B$. As a closing by nB removes entire asymmetry of and up to size nB out of X , a more generic flavor of results is:

$$(X \bullet nB) \bullet mB = (X \bullet nB) \forall m \leq n, \quad (5a)$$

$$(X \oplus nB) \circ mB = (X \oplus nB) \forall m \leq n, \quad (5b)$$

where m, n are non-negative integers.

We come back to pending discussion on theoretical limit for maximum iteration of smoothing by expansion. A cut-off cap K_{max} on iterations could be introduced because of the fact that multiscale smoothing of X by expansion definitely stops as soon as X becomes subset of a specific discrete size binary pattern belonging to family of B as described in Eq. 3. The outcome of this viewpoint is cut-off value given by Eq. 6.

$$K_{\text{max}} = \text{minimum } n \mid X \subseteq (n+1)B, \text{ or}$$

$$K_{\text{max}} = \text{minimum } n \mid \{(n+1)B \ominus X \neq \emptyset\}, \quad (6)$$

where n is non-negative integer. Clearly, $D_n^{\text{asym}} = \emptyset$ for $n \geq K_{\text{max}}$. The dealing of X against $(n+1)B$ (and not nB) in Eq. 6 makes it consistent with model used in Eq. 4a, where iterations of smoothing start at $n=0$, resulting in smoothing of X by $(n+1)B$ in n^{th} iteration. Eq. 6 is primarily designed for both X and B to be 2-D (Two-Dimensional), which is prime focus of our experimentation. However, it accommodates not so practical scenarios where X is 1-D (One-Dimensional) but B is 2-D. X and B both being 1-D is a trivial case. A scenario where X is 2-D and B is 1-D is also not very common. For such scenario, a minimum value of n such that $(n+1)B$ supersedes longest anisotropic straight line which is subset of X , could be considered as K_{max} . It is evident that K is strictly lesser than K_{max} . The accurate value of K precisely depends upon geometric constitutions of X and B , and happens to be far less than K_{max} in most of the practical cases.

EROSION ASYMMETRY AND SYMMETRY – GENERIC FRAMEWORK

Analogous to previous sub-section, the disjoint patterns of erosion asymmetry and erosion symmetry could be realized by pervasion of zonal ring topology between X and $X \ominus B$ (refer Eq. 2c) to X_n and $X_n \ominus B$, where n is non-negative integer, and $X_n (= X \ominus nB)$ is generic input image for n^{th} iteration of multiscale smoothing by contraction. The generalized versions $E_n^{\text{asym}}(X)$ and $E_n^{\text{sym}}(X)$ (or simply E_n^{asym} and E_n^{sym} as referred further) of erosion asymmetry and symmetry respectively derived with this approach are:

$$E_n^{\text{asym}}(X) = (X \ominus nB) - (X \ominus nB) \circ B. \quad (7a)$$

$$E_n^{\text{sym}}(X) = (X \ominus nB) \circ B - (X \ominus (n+1)B). \quad (7b)$$

The iterations start from $n = 0$. X shrinks as iterations of multiscale smoothing by contraction proceed, and it is evident that maximum possible iteration N_{max} is identified by ultimate erosion of image, *i.e.*:

$$N_{\text{max}} = n \mid \{(X \ominus nB \neq \emptyset) \wedge (X \ominus (n+1)B = \emptyset)\}. \quad (8)$$

Observe from Eq. 7a and Eq. 8 that erosion asymmetry for $n = N_{\text{max}}$ is a non-empty set equals to $(X \ominus N_{\text{max}}B)$. Now as we have identified N_{max} , going by analogy of previous sub-section, can we think of an upper threshold on n , which is denoted by N and represent the maximum (or last) iteration in which smoothing of X by contraction occurs? The answer is that depending upon geometry of X and B , the ultimate erosion of X may occur well before its complete smoothing, and accordingly N may or may not exist. So, in some scenarios, we may obtain N_{max} without obtaining N . However, N may exist in some

cases, and could be conceptualized as an iteration such that input image X_n is perfectly erosion symmetric to B for $n \in (N, N_{\text{max}})$; *i.e.*, E_n^{asym} is \emptyset in open interval (N, N_{max}) . $X \circ (N+1)B$ is the smallest non-empty opened version of X obtained by multiscale smoothing, if N exists.

E_n^{asym} and E_n^{sym} are mutually disjoint as is perceptible from Eqs. 7a,b; and their union is a zonal ring between $X \ominus nB$ and $X \ominus (n+1)B$.

$$E_n^{\text{asym}}(X) \cup E_n^{\text{sym}}(X) = (X \ominus nB) - (X \ominus (n+1)B). \quad (7c)$$

Conspicuously, Eq. 7a replicates morphological skeleton transform (Lantuejoul, 1980) of discrete binary image X , which is depicted here as asymmetry of shrinking topological zonal rings. Now onwards, the usage of term erosion asymmetry in essence could be contemplated as morphological skeleton. Maragos (1989) proposed reduced skeleton transform (RST) which may eliminate some skeleton redundancy in few cases depending on choice of X and B , and is compatible with pattern spectrum. The upper threshold value N (if it exists as explained above), obtained from RST based model may slightly differ in some scenarios than the one obtained from skeleton based model (Eq. 7a). We adopt skeleton based model in this paper on similar lines as explained in previous sub-section.

Apparently, the generalized version of results summarized in Fig. 2 of previous section are $((X \ominus nB) \circ B) \circ B = (X \ominus nB) \circ B$, and $(X \ominus (n+1)B) \bullet B = X \ominus (n+1)B$. Eqs. 9a,b demonstrate more generic flavor, known as absorption property, on account of erudition that an opening by nB removes entire asymmetry of and up to size nB out of X .

$$(X \circ nB) \circ mB = (X \circ nB) \quad \forall m \leq n, \quad (9a)$$

$$(X \ominus nB) \bullet mB = (X \ominus nB) \quad \forall m \leq n, \quad (9b)$$

where m, n are non-negative integers.

SHAPE SIZE TOPOLOGY - CLOSE HULLS AND OPEN SKULLS OF IMAGE

CLOSE-HULLS IN BACKGROUND SMOOTHING

Let us consider multiscale closing of X in close interval $[0, K_{\text{max}}]$, which in previous section was derived as potential integral range of iterations for multiscale smoothing of X by expansion. The closed version $X \bullet kB$ corresponding to iteration count k is regarded as a close-hull (or only hull as we often

refer it further) of X if condition $X \bullet kB = X \bullet (k+1)B$ is satisfied. This condition could be achieved by replacing D_n^{asym} as \emptyset for $n = k$ in Eq. 4a. Consequently, an empty dilation asymmetry set for an iteration of smoothing in Eq. 4a ensures that a close-hull exists corresponding to this iteration. Apparently, the mentioned condition for a hull could be potentially satisfied for multiple iterations in $[0, K_{\max}]$, which implies that there may be multiple hulls of X . An interesting point of observation is that if a hull condition is satisfied for $n > 0$; the corresponding input image $X \oplus nB$ in Eq. 4a for this iteration is stable with reference to opening and closing by B , *i.e.*, $(X \oplus nB) \circ B = (X \oplus nB) = (X \oplus nB) \bullet B$.

Now, we first define ‘degree of stability’ of a close-hull; and then will refine close-hull condition as per this definition. The degree of stability of a hull, which is obtained corresponding to iteration count k , is defined as number of successive iterations immediately after k for which dilation asymmetry does not appear. If degree of stability for this hull is s ; then $X \bullet kB = X \bullet (k+1+i)B$, $0 \leq i \leq s$. In other words, there exists null asymmetry pattern for discrete size interval $[(k+1)B, (k+s+1)B]$. Obviously, iterations corresponding to degree of stability do not stand up for a new hull; and to avoid any such confusion, we refine condition to achieve hull as follows. The closed version $X \bullet kB$ corresponding to iteration count k is regarded as a hull of X , if condition in Eq. 10 is satisfied.

$$\{X \bullet kB = X \bullet (k+1)B\} \wedge \{X \bullet (k-1)B \neq X \bullet kB\}, \quad (10)$$

where k is a non negative integer in $[0, K_{\max}]$ as we said earlier. There is a fair possibility that first hull of image is obtained at $k = 0$ itself, and condition (Eq. 10) is truncated to $X = X \bullet B$ for this case.

Let’s denote iteration count for first hull by K_1 and its degree of stability by S_1 . In general, S_1 could be much less than $(K_{\max} - K_1)$, and hence dilation asymmetry appears again at $(K_1 + S_1 + 1)^{\text{th}}$ iteration. If reappeared dilation asymmetry persists continuously for T_1 iterations before disappearing again, then iteration count K_2 for second hull will be $(K_1 + S_1 + T_1 + 1)$. This pattern may repeat depending upon geometry of X and B as we iterate further till K_{\max} and multiple hulls of X could be obtained. Let M be a positive integer denoting maximum number of hulls of X , and K_i ($0 \leq K_i \leq K_{\max}$) be the iteration count for which i^{th} hull ($1 \leq i \leq M$) is obtained as per condition in Eq. 10. So, $X \bullet K_M B$ is maximum possible hull of X , *i.e.*, $X \bullet nB = X \bullet K_M B \quad \forall n > K_M$, and there will be no more smoothing of X by expansion in further iterations till K_{\max} (if $K_M < K_{\max}$)

or beyond. In other words, all iterations succeeding K_M belong to degree of stability of maximum hull, and hence its degree of stability could be considered as infinite assuming image has huge background. In previous section, we denoted K as maximum (or last) iteration in which smoothing of X by expansion occurs, and hence $K = K_M - 1$.

Iterations K_1 to K_M , corresponding to hulls, are measure of scale invariant but shape dependent characteristic of background of X . Iterations K_i , along with degree of stability of hulls, which we denote by S_i for i^{th} hull ($1 \leq i \leq M$), exhibit shape-size topology of background of X .

Algorithm (1) below, which computes total number M of hulls along with K_i and S_i ($1 \leq i \leq M$) values for these hulls, has a linear complexity. It requires image X , structuring element B and K_{\max} (obtained as per Eq. 6) as input parameters; and assumes that X has enough background to hold dilations till K_{\max} . As degree of stability of maximum hull theoretically is infinite, Alg. 1 refrains to compute the same. Let `INPUT_IMAGE`, `DILATED_IMAGE` and `CLOSED_IMAGE` denote the sets indicating input, dilated and closed image respectively for a generic iteration during background smoothing. Let `n`, `hull_count` and `degree_of_stability` indicates generic iteration count, hull number and degree of stability of hull respectively. Let `last_iteration_closed_input_image_same` be a flag indicating if closed and input images for previous iteration were same or not.

Algorithm (1): Computing Background Topology of Image

Initialization:

`INPUT_IMAGE` = X ; `n` = 0; `hull_count` = 0; `degree_of_stability` = 0; `last_iteration_closed_input_image_same` = false;

for `n` = 0.. K_{\max} **do**

`DILATED_IMAGE` = `INPUT_IMAGE` $\oplus B$;

`CLOSED_IMAGE` = `DILATED_IMAGE` $\ominus B$;

if (`CLOSED_IMAGE` == `INPUT_IMAGE`) **then**

if (`last_iteration_closed_input_image_same` == true)

then

// Increment degree of stability of current hull.

`degree_of_stability` ++;

else

// This iteration corresponds to a new hull.

`hull_count` ++;

`M` = `hull_count`;

`Khull_count` = `n`;

// Set flag for upcoming iterations.

`last_iteration_closed_input_image_same` = true;

end //if(`last_iteration_closed_input_image_same` == true)

else

// Iteration indicates non-empty dilation asymmetry either
// between image and first hull or successive hulls.

if (`last_iteration_closed_input_image_same` == true) **then**

//Store & reset degree of stability of current hull.

```

Shull_count = degree_of_stability;
degree_of_stability = 0;
// Reset flag for upcoming iterations.
last_iteration_closed_input_image_same = false;
end //if(last_iteration_closed_input_image_same == true)
end // if (CLOSED_IMAGE == INPUT_IMAGE)
INPUT_IMAGE = DILATED_IMAGE;
end // for

```

Alg. 1 could be easily modified for ERST model (Maragos, 1989). This model, depending upon geometry, may have slight difference in some values of K_i and S_i for few combinations of X and B .

OPEN-SKULLS IN FOREGROUND SMOOTHING

Applying cognitive resemblance to close-hull, an opened version $X \circ nB$ corresponding to iteration count n of multiscale smoothing by contraction is conceptualized as an open-skull (or only skull) of X , if condition in Eq. 11 is satisfied.

$$\{X \circ nB = X \circ (n+1)B\} \wedge \{X \circ (n-1)B \neq X \circ nB\}, \quad (11)$$

where n is a non negative integer in close interval $[0, N_{\max}]$, which is range of iterations of multiscale smoothing of X by contraction as derived in previous section. Apparently, there may be multiple iterations in $[0, N_{\max}]$ satisfying condition of Eq. 11, and hence there may be multiple skulls of X . The pruned version of Eq. 11, to monitor if (first) skull is obtained at $n=0$ itself, is $X = X \circ B$. In logical correlation to degree of stability of a hull, the degree of stability of a skull obtained corresponding to iteration count n , is defined as number of successive iterations immediately after n for which erosion asymmetry does not appear. If we again denote s as degree of stability of this skull, then $X \circ nB = X \circ (n+1+i)B$, $0 \leq i \leq s$. Condition in Eq. 11 ensures that iterations corresponding to degree of stability of existing skull are not interpreted as new skull. The model proposed in Eq. 7a together with check in Eq. 11 indicates that an iteration resulting in empty erosion asymmetry set corresponds to either a new skull, or degree of stability of existing skull. For such an iteration, a parity condition, *i.e.*, $(X \ominus nB) \bullet B = (X \ominus nB) = (X \ominus nB) \circ B$ is attained, provided n is strictly greater than 0; and the same is visible from Eq. 7a and Eq. 9b.

As we have discussed skull in near resemblance to hull; it is good to point a contrasting fact that (at least one) hull exists for any finite image (assuming enough big image background), however there may be cases where an image has no skull depending upon the choice of structuring element. Having said that; we continue with generic case where X may have

multiple skulls in $[0, N_{\max}]$. Let M be a positive integer denoting maximum number of skulls of X , and N_i ($0 \leq N_i < N_{\max}$) be the iteration count for which i^{th} skull ($1 \leq i \leq M$) is obtained as per Eq. 11. So, $X \circ N_M B$ is ultimate (last) skull of X . In previous section, we conceptualized maximum iteration N of smoothing of X by contraction. For cases where N exists, $N = N_M - 1$, and $X \circ nB = X \circ N_M B \quad \forall n \in [N_M, N_{\max}]$. We know that erosion asymmetry always persists for $n = N_{\max}$; and hence the last skull has finite degree of stability by definition. For cases where N exists, degree of stability of last skull is $(N_{\max} - 1 - N_M)$. Degree of stability of last skull is less than $(N_{\max} - 1 - N_M)$ for cases where N does not exist.

Iterations N_1 to N_M are measure of scale invariant but shape dependent characteristic of foreground of X . These iterations along with degree of stability S_i ($1 \leq i \leq M$) of skulls represent shape-size topology of foreground of X .

Algorithm (2) computes total number M of skulls of image X , along with their N_i and S_i ($1 \leq i \leq M$) values. Let INPUT_IMAGE, ERODED_IMAGE and OPENED_IMAGE denote the sets indicating input, eroded and opened image respectively for a generic iteration during foreground smoothing. Let n , skull_count and degree_of_stability indicates generic iteration count, skull number and degree of stability of skull respectively. Let last_iteration_open_input_image_same be a flag indicating if opened and input images for previous iteration were same.

Algorithm (2): Computing Foreground Topology of Image

Initialization:

INPUT_IMAGE = X ; $n=0$; skull_count = 0;

degree_of_stability = 0;

last_iteration_open_input_image_same = false;

while (1) **do**

 ERODED_IMAGE = INPUT_IMAGE \ominus B;

if (ERODED_IMAGE == \emptyset) **then**

$N_{\max} = n$;

if (skull_count > 0) **then**

if (degree_of_stability > 0) **then**

 // All iterations in (N_M, N_{\max}) belong to stability of

 // last skull. Note that default value of stability is 0.

$S_{\text{skull_count}} = \text{degree_of_stability}$;

end; // (degree_of_stability > 0)

end // if (skull_count > 0)

break; // exit of algorithm

end // if (ERODED_IMAGE == \emptyset)

 OPENED_IMAGE = ERODED_IMAGE \oplus B;

if (OPENED_IMAGE == INPUT_IMAGE) **then**

if (last_iteration_open_input_image_same == true) **then**

 // Increment degree of stability of current skull.

 degree_of_stability ++;

else

 // A new skull.


```

    skull_count++;
    M = skull_count;
    Nskull_count = n;
    // Set flag for upcoming iterations.
    last_iteration_open_input_image_same = true;
end // if (last_iteration_open_input_image_same == true)
else
    // Iteration indicates non-empty erosion asymmetry either
    // between image and first skull or successive skulls.
    if (last_iteration_open_input_image_same == true) then
        //Store & reset degree of stability of current skull.
        Sskull_count = degree_of_stability;
        degree_of_stability = 0;
        // Reset flag for upcoming iterations.
        last_iteration_open_input_image_same = false;
    end // if (last_iteration_open_input_image_same == true)
end // if (OPENED_IMAGE == INPUT_IMAGE)
    INPUT_IMAGE = ERODED_IMAGE;
    n = n+1;
end // while(1)

```

Alg. 2 could be easily modified for RST model (Maragos, 1989), which may have slight difference in some values of N_i and S_i in few cases.

In essence, here we discussed multiple hulls and skulls of an image during multiscale analysis. Critical scales in terms of iterations K_i and N_i corresponding to hulls and skulls are entrenched, and concept of a locally stable or silent zone, where hulls and skulls stabilize for certain scale-interval is introduced. This literally means that scale-intervals $[K_i, K_i+S_i]$ and $[N_i, N_i+S_i]$ in a typical size distribution or pattern spectrum plot reflect a straight line coinciding to axis representing size of closing and opening respectively. We also furnish algorithms to compute foreground and background topology of image in terms of these salient scales.

EMPIRICAL ANALYSIS

HULL AND SKULL BASED CHARACTERIZATION OF FRACTAL OBJECTS

We applied Alg. 1 on deterministic and random binary Koch quadric fractals of Fig. 3. Table 1 shows the results with B as symmetrical flat structuring element of primitive size 3×3 , containing 4 neighbors and rhombic in shape. For deterministic fractal: $M = 6$, $K_M = 62$ and hence $K (= K_M - 1)$ is 61. The number of successive iterations for which dilation asymmetry persisted *e.g.* between first and second hull of deterministic fractal is $K_2 - (K_1 + S_1 + 1)$, *i.e.*, 7 (iteration 12 to 18 both inclusive). We discussed earlier that depending upon geometric constitutions of X and B, the value of K_{max} may be much higher than K, which exactly is reflected for deterministic and random

fractals with K_{max} value at 220 and 180 respectively. Observe that a considerable $29 (= \sum_{i=1}^{M-1} S_i + M)$ out of total $63 (= K_M + 1)$ iterations in case of deterministic fractal corresponds to empty dilation asymmetry sets, but this number is not so high for random fractal.

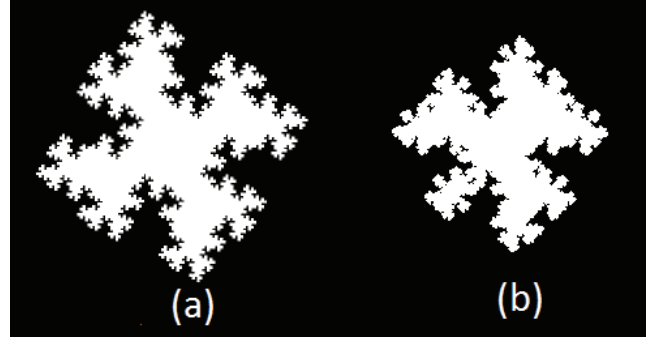


Fig. 3. (a) Deterministic and (b) random binary Koch quadric fractals (both 1024×1024 pixels).

Applying Alg. 2 on deterministic and random binary Koch quadric fractals of Fig. 3 with exactly same rhombic structuring element yields the results as shown in Table 2. We obtained the N_{max} value for deterministic and random fractal as 63 and 54 respectively; which implies that degree of stability of last skull is less than $(N_{max} - 1 - N_M)$ for both these cases, and hence N does not exist. A simple example where N exists is circular image with exterior spikes on its boundary. The number of successive iterations for which erosion asymmetry persisted *e.g.* between first and second skull of random fractal is $N_2 - (N_1 + S_1 + 1)$, *i.e.*, 1 (iteration number 20). Again, notice that $26 (= \sum_{i=1}^M S_i + M)$ out of total $64 (= N_{max} + 1)$ iterations for case of deterministic fractal corresponds to empty erosion asymmetry sets, and this number is pretty low for random fractal.

The ERST and RST model (Maragos, 1989) yields no impact on results of Table 1 and Table 2 respectively. Notice that the choice of rhombic structuring element, especially for deterministic fractal, establishes a very close equilibrium between the values obtained for skulls and their background counterparts, *i.e.*, hulls, as is evident from Tables 1 and 2.

For deterministic fractal of Fig. 3a, a flat square structuring element of primitive size 3×3 yields 10 hulls and 3 skulls, while a flat octagonal structuring element (primitive size 5×5) yields 3 hulls and 1 skull. For random fractal of Fig. 3b, square structuring element yields 2 close-hulls and 1 skull. In general, the model given by Alg. 1 and Alg. 2 could be applied to any image, resulting in one or more

hulls and zero or more skulls depending upon choice of X and B.

Table 1. *Background Shape Size Topology of (A) Deterministic and (B) Random Binary Koch Quadric Fractals of Fig. 3.*

(A)			(B)		
Hull No. i	K _i	S _i	Hull No. i	K _i	S _i
1	11	0	1	14	1
2	19	2	2	27	0
3	25	2	3	33	2
4	35	1	4	46	0
5	42	18	5	49	∞
6	62	∞			

Table 2. *Foreground Shape Size Topology of (A) Deterministic and (B) Random Binary Koch Quadric Fractals of Fig. 3.*

(A)			(B)		
Skull No. i	N _i	S _i	Skull No. i	N _i	S _i
1	12	1	1	19	0
2	20	2	2	21	0
3	26	2	3	37	0
4	36	0	4	41	4
5	41	13	5	47	0
6	58	2	6	49	1

HULL AND SKULL BASED QUANTIFICATION OF IMAGE TEXTURE

We know that granulometries, and their discrete derivatives referred as size distribution (Matheron, 1975) or pattern spectra (Maragos, 1989), provide information regarding shape and size, and play important role in image texture analysis and pattern classification. Iterations corresponding to hulls and skulls reflect critical scales, and being these scales the quantifiers, we define hull and skull based discrete derivatives for zonal classification (of background and foreground respectively) of image. These derivatives are termed as Hull Fragment Pattern Spectrum (HFPS) and Skull Fragment Pattern Spectrum (SFPS) and are defined as:

$$HFPS_i(X) = \begin{cases} A(X \bullet K_i B) - A(X), i=1 \\ A(X \bullet K_i B) - A(X \bullet K_{i-1} B), 2 \leq i \leq M \end{cases}, \quad (12)$$

and

$$SFPS_i(X) = \begin{cases} A(X) - A(X \circ N_1 B), i=1 \\ A(X \circ N_{i-1} B) - A(X \circ N_i B), 2 \leq i \leq M \\ A(X \circ N_M B), i=M+1 \end{cases}, \quad (13)$$

where HFPS_i and SFPS_i are ith hull and skull fragment pattern spectrum of X respectively. A(.) indicates area of finite image under consideration. As stated earlier in last section, K_i and N_i is iteration count for which ith hull and skull is obtained, and M denotes maximum number of entities (*i.e.*, hulls in Eq. 12 and skulls in Eq. 13) under consideration. Notice that there are M hull fragments of an image having M hulls, while the number of skull fragments of an image having M skulls is M+1, as the last (*i.e.*, Mth) skull itself is a fragment.

Hull and skull fragment pattern spectra represent respectively gain and loss of areas between two successive hulls and skulls. The resulting curve of a plot between HFPS_i and K_i (similarly between SFPS_i and N_i) indicates fragment-wise areal distribution pattern with size interval for each fragment. The peaks and valleys of these curves reflect fragment with maximum and minimum area respectively. Fig. 4 shows these plots for deterministic Koch quadric fractal (Fig. 3a). The first hull fragment has maximum area and the sixth one has minimum. For the fractal foreground, the curve specifies that first skull fragment has maximum area while the third, in close contest to sixth, has minimum. Notice a dwarf hull fragment from Fig. 4a between iteration 42 and 62, which is due to high degree of stability of fifth hull as is obvious from Table 1A (K₅=42 and S₅=18). Similarly, as per plot of Fig. 4b, a little area of skull fragment between iteration 41 and 58 is result of high degree of stability of fifth skull as is evident from Table 2A (N₅=41 and S₅=13).

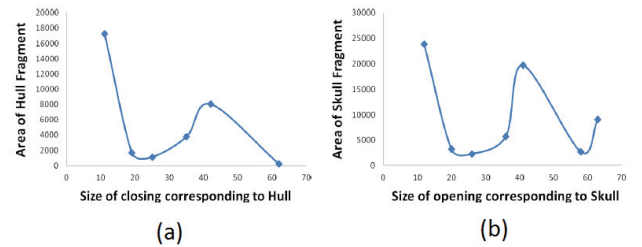


Fig. 4. (a) *Hull fragment, and (b) skull fragment wise pattern spectrum of deterministic binary Koch quadric fractal of Fig. 3a.*

As maximum close-hull of image tends towards convex-hull, a comparison of concavity to convexity of image could be obtained by comparing respectively the sum of hull fragment pattern spectra and skull fragment pattern spectra. Table 3 shows this

comparison for deterministic fractal (Fig. 3) using multiple structuring elements. Octagonal structuring element (with 21 pixels) is less anisotropic (Soille, 2003) than primitive rhombus and square structuring elements, and yields a maximum hull, which is much closer to convex-hull of deterministic fractal, as is clear from concavity to convexity ratios in Table 3 and also from Fig. 5. By adding topological dimension 1 to these scale invariant but shape-dependent concavity-convexity ratios, results yield 1.438, 1.482, and 1.506. It is noted that the octagon-based analysis yields 1.506, which is close to the analytical fractal dimension value, *i.e.*, 1.5; of the deterministic Koch quadric fractal. Fig. 5 shows maximum close-hulls of this deterministic fractal for structuring elements employed.

Table 3. Comparison of Concavities and Convexities of Deterministic Binary Koch Quadric Fractal of Fig. 3. (Rhombus and Square Structuring Elements are of size 3x3 and Octagon is of size 5x5)

Structuring Element Type	\sum HFPS	\sum SFPS	Concavity to convexity ratio
Square	29169.5	66633.5	.438
Rhombus	32109.625	66633.5	.482
Octagon	33748.875	66633.5	.506

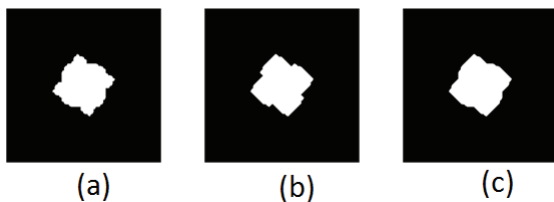


Fig. 5. Maximum close-hulls of deterministic binary Koch quadric fractal of Fig. 3a for (a) square ($M = 10$, $K_M = 31$) (b) rhombus ($M = 6$, $K_M = 62$) and (c) octagonal ($M = 3$, $K_M = 42$) structuring elements.

The hull and skull fragments based model exhibits characteristics which are invariant of the scale of image but dependent upon shape of structuring element. For example, a sequence of skull and hull fragments can be seen from Fig. 6a-l for deterministic fractal of Fig. 3a, and even a variation in scale of this image yields a similar primary texture with rhombic shape (Fig. 6a) followed by a pattern similar to Fig. 6b-l given structuring element is the same. Because of shape dependent characteristics of this model, the texture obtained corresponding to Fig. 6 will be totally different if a different (*e.g.* flat square) structuring element is applied to deterministic fractal. Notice that the shape and size of primary texture (*e.g.*

the one of Fig. 6a) depends upon the choice of structuring element and a scale driven by N_M value respectively. To be precise, Fig. 6a contemplates $X \circ N_M B$ (refer Eq. 13) and will be of square shape if used structuring element is square.

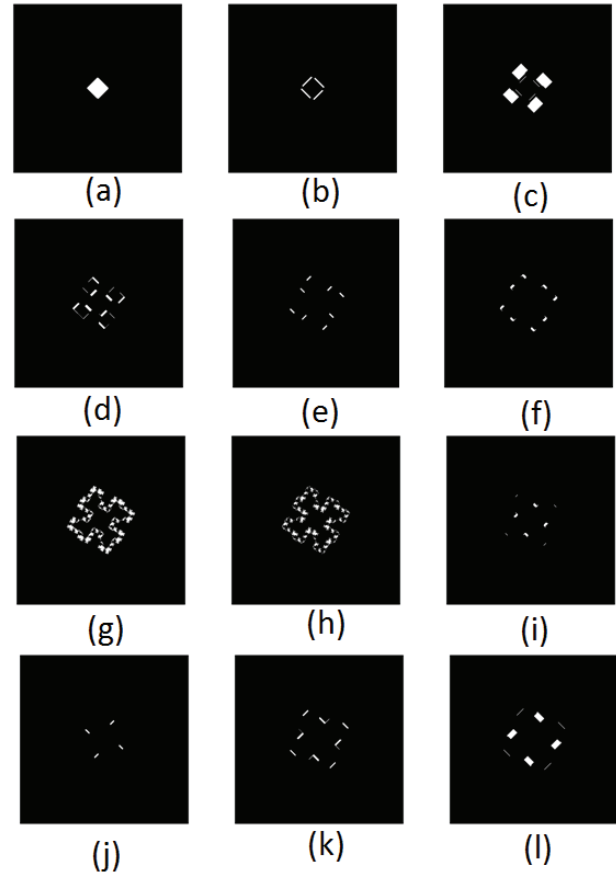


Fig. 6a-g skull fragments (in order from centre towards boundary of object), and (h) to (l) visible hull fragments (in order starting from object boundary and growing outwards) of deterministic fractal of Fig. 3a. Rhombus of primitive size 3x3 is used as structuring element. Max. (*i.e.* 6th) hull fragment is too small as is conspicuous from Fig. 4a and not shown.

The hull and skull fragments based model could be utilized for image analysis, especially for images with systematic disorder. Fig. 6a-l reflects an ordered pattern in sequence of zonal fragments. This is due to systematic disorder in overall texture of deterministic fractal and will certainly not exist for all images (*e.g.* random fractal) under consideration.

CONCLUSIONS

This paper re-visits morphological ridge and skeleton transforms with a flavor of dilation and erosion asymmetry, followed by discussion on multiple hulls and skulls of an image. We provide a morphological

model to quantify image and its background based upon potential critical-scales, which demonstrate a sort of local stability in image texture. This local stability could be interpreted as invariance of image against certain scales during successive morphological opening and closing transforms. This phenomenon sometimes may persist consistently for a scale-interval. In summary, the proposed model comes handy in topographical analysis of image as well its background, and accordingly provides a tool for zonal-decomposition. The model exhibits scale invariant but shape dependent characteristics and is very effective for analysis of images having systematic disorder in overall texture. In particular, we can consider *e.g.* geospatial objects under this category where topographic characteristics stabilize locally for certain scale-interval, and proposed model could be handy to study the spatio-temporal stability of such objects. Though the paper demonstrate binary images as experimental prototype, all the concepts are applicable for gray tone images as well. There exists a scope for follow-up work in consideration of few aspects, *e.g.* a) possible usage of multiscale index (identified by ratio K_M/N_M , or preferably K_M/N_{max}) of an image for a given shape as a measure of background versus foreground complexity b) characterizing fractal dimension via morphological operations c) applying the work in this paper to grayscale domain.

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